Synchronizing Automata – II

M. V. Volkov

Ural State University, Ekaterinburg, Russia



We consider DFA: $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$.

We consider DFA: $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$.

The DFA \mathscr{A} is called *synchronizing* if there exists a word $w \in \Sigma^*$ whose action resets \mathscr{A} , that is, leaves the automaton in one particular state no matter which state in Q it started at.

We consider DFA: $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$.

The DFA \mathscr{A} is called *synchronizing* if there exists a word $w \in \Sigma^*$ whose action resets \mathscr{A} , that is, leaves the automaton in one particular state no matter which state in Q it started at.

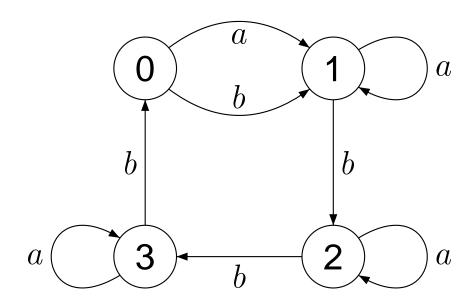
$$|Q \cdot w| = 1$$
. Here $Q \cdot v = \{\delta(q,v) \mid q \in Q\}$.

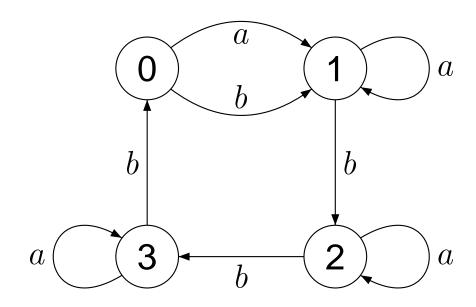
We consider DFA: $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$.

The DFA \mathscr{A} is called *synchronizing* if there exists a word $w \in \Sigma^*$ whose action resets \mathscr{A} , that is, leaves the automaton in one particular state no matter which state in Q it started at.

$$|Q \cdot w| = 1$$
. Here $Q \cdot v = \{\delta(q,v) \mid q \in Q\}$.

Any w with this property is said to be a *reset word* for the automaton.





A reset word is abbbabba. In fact, we have verified that this is the shortest reset word for this automaton.

Suppose a synchronizing automaton has n states. What is the length of its shortest reset word?

Suppose a synchronizing automaton has n states. What is the length of its shortest reset word? We know an upper bound: there always exists a reset word of length $\frac{n^3-n}{6}$. What about a lower bound?

Suppose a synchronizing automaton has n states. What is the length of its shortest reset word? We know an upper bound: there always exists a reset word of length $\frac{n^3-n}{6}$. What about a lower bound? In his 1964 paper Jan Černý constructed a series \mathscr{C}_n , $n=2,3,\ldots$, of synchronizing automata over 2 letters.

Suppose a synchronizing automaton has n states. What is the length of its shortest reset word? We know an upper bound: there always exists a reset word of length $\frac{n^3-n}{6}$. What about a lower bound? In his 1964 paper Jan Černý constructed a series \mathcal{C}_n , $n=2,3,\ldots$, of synchronizing automata over 2 letters. The states of \mathcal{C}_n are the residues modulo n, and the input letters a and b act as follows:

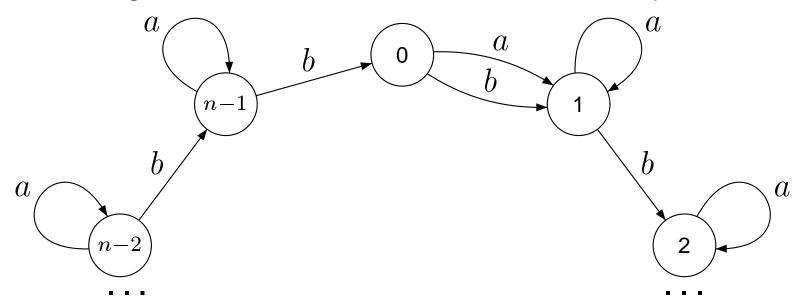
$$\delta(0,a) = 1, \ \delta(m,a) = m \text{ for } 0 < m < n, \ \delta(m,b) = m+1 \pmod{m}$$

Suppose a synchronizing automaton has n states. What is the length of its shortest reset word? We know an upper bound: there always exists a reset word of length $\frac{n^3-n}{6}$. What about a lower bound? In his 1964 paper Jan Černý constructed a series \mathcal{C}_n , $n=2,3,\ldots$, of synchronizing automata over 2 letters. The states of \mathcal{C}_n are the residues modulo n, and the input letters a and b act as follows:

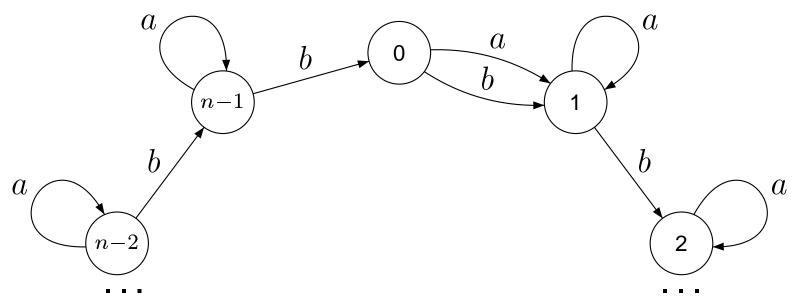
$$\delta(0, a) = 1, \ \delta(m, a) = m \text{ for } 0 < m < n, \ \delta(m, b) = m + 1 \pmod{m}$$

The automaton in the previous slide is \mathcal{C}_4 .

Here is a generic automaton from the Černý series:

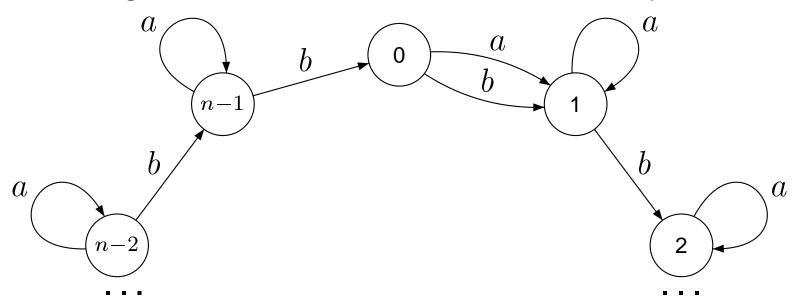


Here is a generic automaton from the Černý series:



Černý has proved that the shortest reset word for \mathscr{C}_n is $(ab^{n-1})^{n-2}a$ of length $(n-1)^2$.

Here is a generic automaton from the Černý series:



Černý has proved that the shortest reset word for \mathcal{C}_n is $(ab^{n-1})^{n-2}a$ of length $(n-1)^2$. As other results from Černý's paper of 1964, this nice series of automata has been rediscovered many times, see references in the pre-proceedings.

We present a proof of this result using a solitaire-like game:

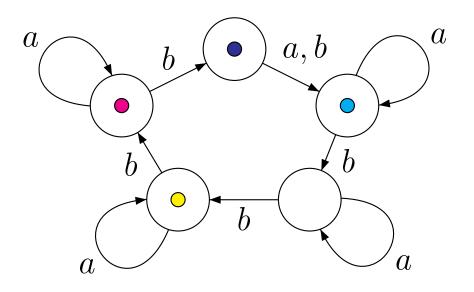
• The digraph of \mathscr{C}_n — the game-board.

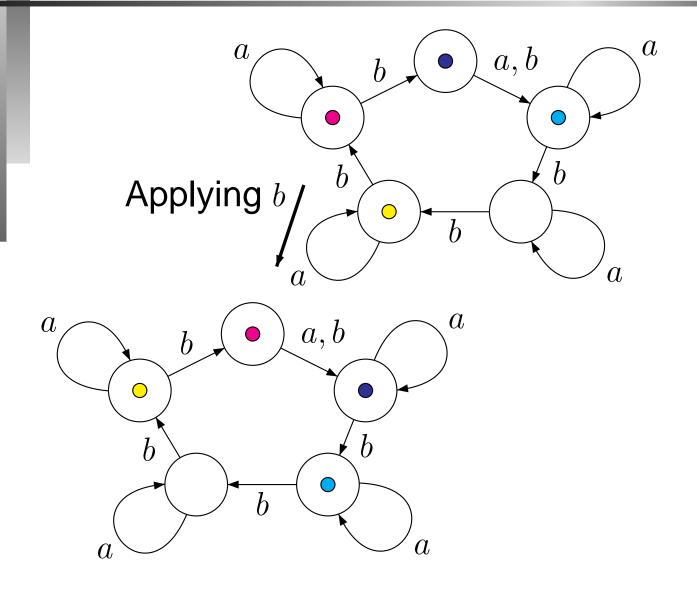
- The digraph of \mathscr{C}_n the game-board.
- The initial position each state holds a coin, all coins are pairwise distinct.

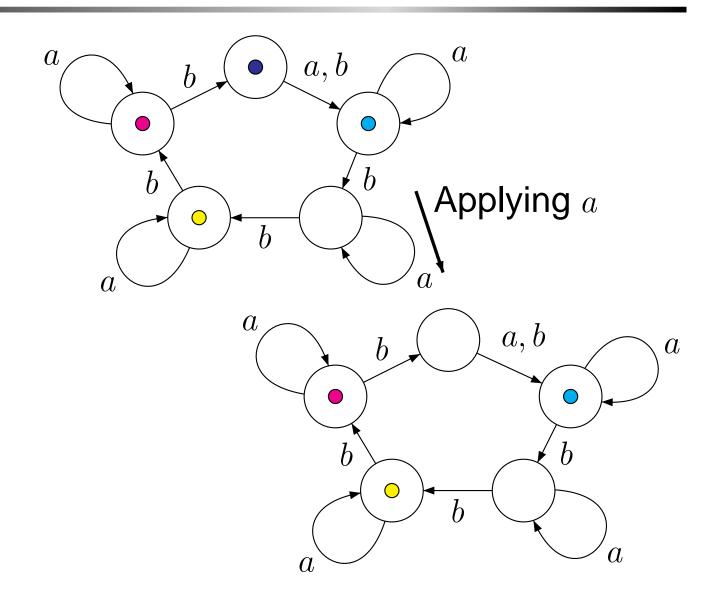
- The digraph of \mathscr{C}_n the game-board.
- The initial position each state holds a coin, all coins are pairwise distinct.
- Each letter $c \in \{a, b\}$ defines a move coins slide along the arrows labelled c and, whenever two coins meet at the state 1, the coin arriving from 0 is removed.

- The digraph of \mathscr{C}_n the game-board.
- The initial position each state holds a coin, all coins are pairwise distinct.
- Each letter $c \in \{a, b\}$ defines a move coins slide along the arrows labelled c and, whenever two coins meet at the state 1, the coin arriving from 0 is removed.
- The goal to free all but one states.

- The digraph of \mathscr{C}_n the game-board.
- The initial position each state holds a coin, all coins are pairwise distinct.
- Each letter $c \in \{a, b\}$ defines a move coins slide along the arrows labelled c and, whenever two coins meet at the state 1, the coin arriving from 0 is removed.
- The goal to free all but one states.
- The only coin that remains at the end of the game is the golden coin G.







Let P_0 be an initial distribution of coins, w a reset word.

Let P_0 be an initial distribution of coins, w a reset word. Denote by P_i the position that arises when we apply the prefix of w of length i to the position P_0 .

Let P_0 be an initial distribution of coins, w a reset word. Denote by P_i the position that arises when we apply the prefix of w of length i to the position P_0 . We want to define the weight $wg(P_i)$ of the position such that

Let P_0 be an initial distribution of coins, w a reset word. Denote by P_i the position that arises when we apply the prefix of w of length i to the position P_0 . We want to define the weight $wg(P_i)$ of the position such that

- (i) $wg(P_0) \ge n(n-1)$ and $wg(P_{|w|}) \le n-1$;
- (ii) for each i = 1, ..., |w|, the action of the i^{th} letter of w decreases the weight by 1 at most, that is, $1 \ge wg(P_{i-1}) wg(P_i)$.

Let P_0 be an initial distribution of coins, w a reset word. Denote by P_i the position that arises when we apply the prefix of w of length i to the position P_0 . We want to define the weight $wg(P_i)$ of the position such that

- (i) $wg(P_0) \ge n(n-1)$ and $wg(P_{|w|}) \le n-1$;
- (ii) for each i = 1, ..., |w|, the action of the i^{th} letter of w decreases the weight by 1 at most, that is, $1 \ge wg(P_{i-1}) wg(P_i)$.

Then
$$|w| = \sum_{i=1}^{|w|} 1 \ge \sum_{i=1}^{|w|} \left(\operatorname{wg}(P_{i-1}) - \operatorname{wg}(P_i) \right) = \operatorname{wg}(P_0) - \operatorname{wg}(P_{|w|}) \ge n(n-1) - (n-1) = (n-1)^2.$$

The trick consists in letting the weight of each coin depend on its relative location w.r.t. the golden coin.

The trick consists in letting the weight of each coin depend on its relative location w.r.t. the golden coin. If a coin C is present in a position P_i , let $s_i(C)$ be the state covered with C in this position. We define the weight of C in the position P_i as

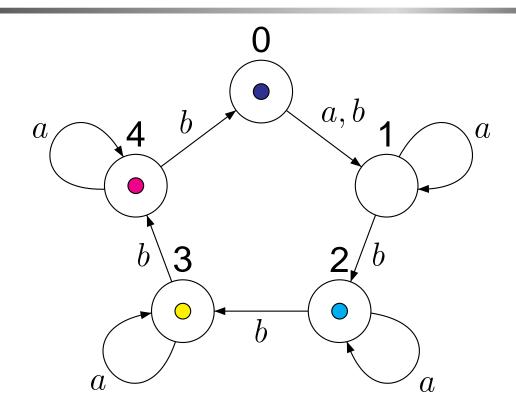
$$wg(C, P_i) = n \cdot d_i(C) + m_i(C)$$

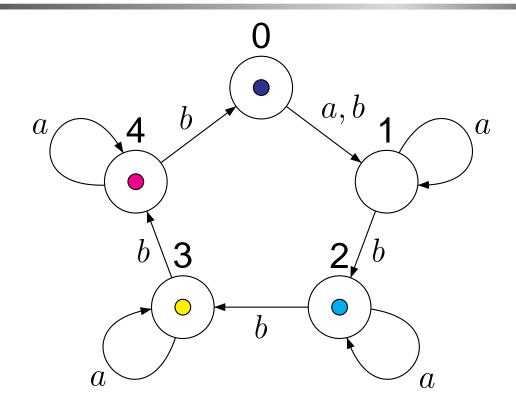
where $m_i(C)$ is the residue of $n - s_i(C)$ modulo n and $d_i(C)$ is the number of steps from $s_i(C)$ to $s_i(G)$ in the 'main circle' of our automaton. (Recall that G stands for the golden coin G which is present in all positions.)

The trick consists in letting the weight of each coin depend on its relative location w.r.t. the golden coin. If a coin C is present in a position P_i , let $s_i(C)$ be the state covered with C in this position. We define the weight of C in the position P_i as

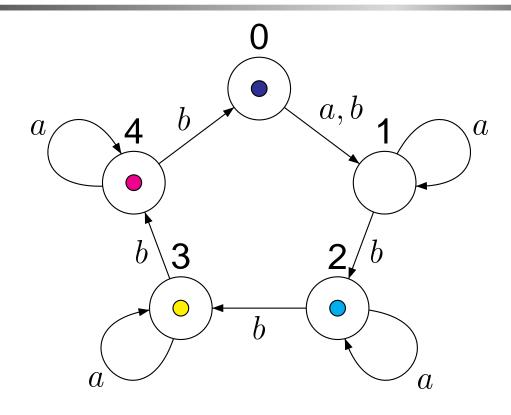
$$wg(C, P_i) = n \cdot d_i(C) + m_i(C)$$

where $m_i(C)$ is the residue of $n - s_i(C)$ modulo n and $d_i(C)$ is the number of steps from $s_i(C)$ to $s_i(G)$ in the 'main circle' of our automaton. (Recall that G stands for the golden coin G which is present in all positions.) The weight of P_i is the maximum weight of the coins present in this position.

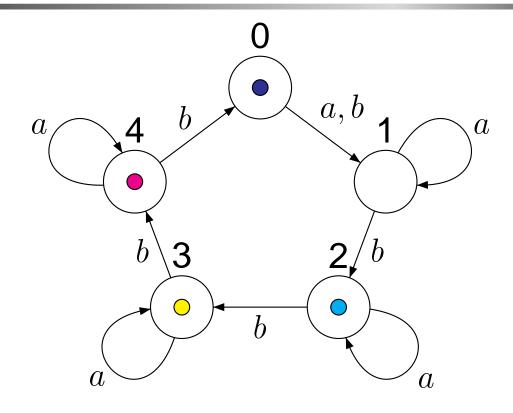




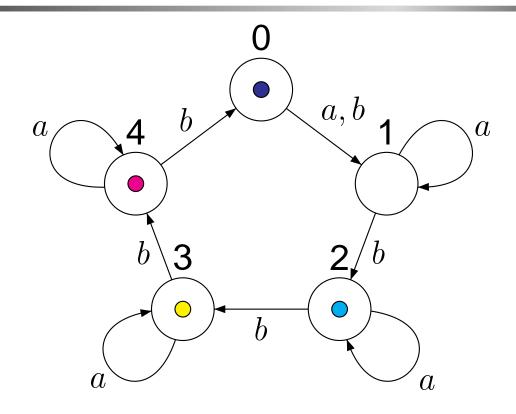
Assume that the yellow coin is the golden one.



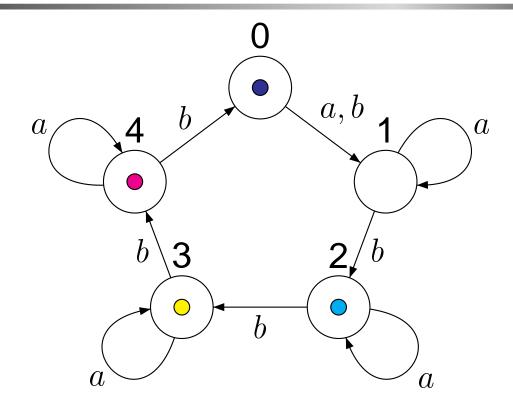
Assume that the yellow coin is the golden one. Then its weight is 5-3=2.



Assume that the yellow coin is the golden one. Then its weight is 5-3=2. The weight of the cyan coin is $5 \cdot 1 + (5-2) = 8$.



Assume that the yellow coin is the golden one. Then its weight is 5-3=2. The weight of the cyan coin is $5 \cdot 1 + (5-2) = 8$. The weight of the blue coin is $5 \cdot 3 + 0 = 15$.



Assume that the yellow coin is the golden one. Then its weight is 5-3=2. The weight of the cyan coin is $5 \cdot 1 + (5-2) = 8$. The weight of the blue coin is $5 \cdot 3 + 0 = 15$. The weight of the magenta coin is $5 \cdot 4 + (5-4) = 21$, and this is the weight of the position.

We have to check that our weight function satisfies the conditions

(i)
$$wg(P_0) \ge n(n-1)$$
 and $wg(P_{|w|}) \le n-1$;

(ii)
$$1 \ge wg(P_{i-1}) - wg(P_i)$$
 for each $i = 1, ..., |w|$.

We have to check that our weight function satisfies the conditions

(i)
$$wg(P_0) \ge n(n-1)$$
 and $wg(P_{|w|}) \le n-1$;

(ii)
$$1 \ge wg(P_{i-1}) - wg(P_i)$$
 for each $i = 1, ..., |w|$.

In the initial position all states are covered with coins. Consider the coin C that covers the state $s_0(G) + 1 \pmod{n}$, that is the state in one step clockwise after the state covered with the golden coin. Then $d_0(C) = n - 1$ whence $\operatorname{wg}(C, P_0) = n \cdot (n - 1) + m_0(C) \ge n(n - 1)$. Since the weight of a position is not less that the weight of any coin in this position, we have $\operatorname{wg}(P_0) \ge n(n - 1)$.

In the final position only the golden coin G remains, whence the weight of $P_{|w|}$ is the weight of G. Clearly, $wg(G, P_i) = m_i(G) \le n - 1$ for any position P_i .

In the final position only the golden coin G remains, whence the weight of $P_{|w|}$ is the weight of G. Clearly, $\operatorname{wg}(G,P_i)=m_i(G)\leq n-1$ for any position P_i . Let C be a coin of maximum weight in P_{i-1} . If the transition from P_{i-1} to P_i is caused by b, then $d_i(C)=d_{i-1}(C)$ (because the relative location of the coins does not change) and $m_i(C)=m_{i-1}(C)-1$ if $m_{i-1}(C)>0$, otherwise $m_i(C)=n-1$.

In the final position only the golden coin G remains, whence the weight of $P_{|w|}$ is the weight of G. Clearly, $wg(G, P_i) = m_i(G) \le n - 1$ for any position P_i .

Let C be a coin of maximum weight in P_{i-1} . If the transition from P_{i-1} to P_i is caused by b, then $d_i(C) = d_{i-1}(C)$ (because the relative location of the coins does not change) and $m_i(C) = m_{i-1}(C) - 1$ if $m_{i-1}(C) > 0$, otherwise $m_i(C) = n - 1$. We see that

$$wg(P_i) \ge wg(C, P_i) = n \cdot d_i(C) + m_i(C) \ge n \cdot d_{i-1}(C) + m_{i-1}(C) - 1 = wg(C, P_{i-1}) - 1 = wg(P_{i-1}) - 1.$$

Suppose the transition from P_{i-1} to P_i is caused by a. If $s_{i-1}(C) \neq 0$, then $m_i(C) = m_{i-1}(C)$ and $d_i(C) = d_{i-1}(C)$ if $s_{i-1}(G) \neq 0$, otherwise $d_i(C) = d_{i-1}(C) + 1$.

Suppose the transition from P_{i-1} to P_i is caused by a. If $s_{i-1}(C) \neq 0$, then $m_i(C) = m_{i-1}(C)$ and $d_i(C) = d_{i-1}(C)$ if $s_{i-1}(G) \neq 0$, otherwise $d_i(C) = d_{i-1}(C) + 1$. Thus, the transition from P_{i-1} to P_i cannot decrease the weight.

Suppose the transition from P_{i-1} to P_i is caused by a. If $s_{i-1}(C) \neq 0$, then $m_i(C) = m_{i-1}(C)$ and $d_i(C) = d_{i-1}(C)$ if $s_{i-1}(G) \neq 0$, otherwise $d_i(C) = d_{i-1}(C) + 1$. Thus, the transition from P_{i-1} to P_i cannot decrease the weight. Assume that C covers 0 in P_{i-1} . Then in P_i the state 1 holds a coin C' (which may or may not coincide with C). In P_{i-1} the golden coin G does not cover 0 whence it does not move and $d_i(C') = d_{i-1}(C) - 1$.

Suppose the transition from P_{i-1} to P_i is caused by a. If $s_{i-1}(C) \neq 0$, then $m_i(C) = m_{i-1}(C)$ and $d_i(C) = d_{i-1}(C)$ if $s_{i-1}(G) \neq 0$, otherwise $d_i(C) = d_{i-1}(C) + 1$. Thus, the transition from P_{i-1} to P_i cannot decrease the weight. Assume that C covers 0 in P_{i-1} . Then in P_i the state 1 holds a coin C' (which may or may not coincide with C). In P_{i-1} the golden coin G does not cover 0 whence it does not move and $d_i(C') = d_{i-1}(C) - 1$. Therefore

$$wg(P_i) \ge wg(C', P_i) = n \cdot d_i(C') + n - 1 = n \cdot (d_{i-1}(C) - 1) + n - 1$$
$$= n \cdot d_{i-1}(C) - 1 = wg(C, P_{i-1}) - 1 = wg(P_{i-1}) - 1.$$

Define the Černý function C(n) as the maximum length of shortest reset words for synchronizing automata with n states. The above property of the series $\{\mathscr{C}_n\}$, $n=2,3,\ldots$, yields the inequality $C(n) \geq (n-1)^2$.

Define the Černý function C(n) as the maximum length of shortest reset words for synchronizing automata with n states. The above property of the series $\{\mathscr{C}_n\}$, $n=2,3,\ldots$, yields the inequality $C(n) \geq (n-1)^2$. The Černý conjecture is the claim that in fact the equality $C(n) = (n-1)^2$ holds true.

Define the $\check{\mathbf{C}}\mathit{ern\acute{y}}\mathit{function}\ C(n)$ as the maximum length of shortest reset words for synchronizing automata with n states. The above property of the series $\{\mathscr{C}_n\}$, $n=2,3,\ldots$, yields the inequality $C(n) \geq (n-1)^2$. The $\check{\mathbf{C}}\mathit{ern\acute{y}}\mathit{conjecture}$ is the claim that in fact the

The Cerny conjecture is the claim that in fact the equality $C(n) = (n-1)^2$ holds true. This simply looking conjecture is arguably the most longstanding open problem in the combinatorial theory of finite automata, see the pre-proceedings for a discussion of the history of the conjecture.

Define the Černý function C(n) as the maximum length of shortest reset words for synchronizing automata with n states. The above property of the series $\{\mathscr{C}_n\}$, $n=2,3,\ldots$, yields the inequality $C(n) \geq (n-1)^2$.

The Cerný conjecture is the claim that in fact the equality $C(n) = (n-1)^2$ holds true. This simply looking conjecture is arguably the most longstanding open problem in the combinatorial theory of finite automata, see the pre-proceedings for a discussion of the history of the conjecture. Everything we know about the conjecture in general can be summarized in one line:

$$(n-1)^2 \le C(n) \le \frac{n^3 - n}{6}.$$

Why is the problem so surprisingly difficult?

Why is the problem so surprisingly difficult? We saw two reasons in Lecture I:

Why is the problem so surprisingly difficult?

We saw two reasons in Lecture I:

• non-locality: prefixes of optimal solutions need not be optimal (that's why the greedy algorithm fails;

Why is the problem so surprisingly difficult?

We saw two reasons in Lecture I:

- non-locality: prefixes of optimal solutions need not be optimal (that's why the greedy algorithm fails;
- combinatorics of finite sets is encoded in the problem.

Why is the problem so surprisingly difficult?

We saw two reasons in Lecture I:

- non-locality: prefixes of optimal solutions need not be optimal (that's why the greedy algorithm fails;
- combinatorics of finite sets is encoded in the problem.

Yet another reason: "slowly" synchronizing automata turn out to be extremely rare.

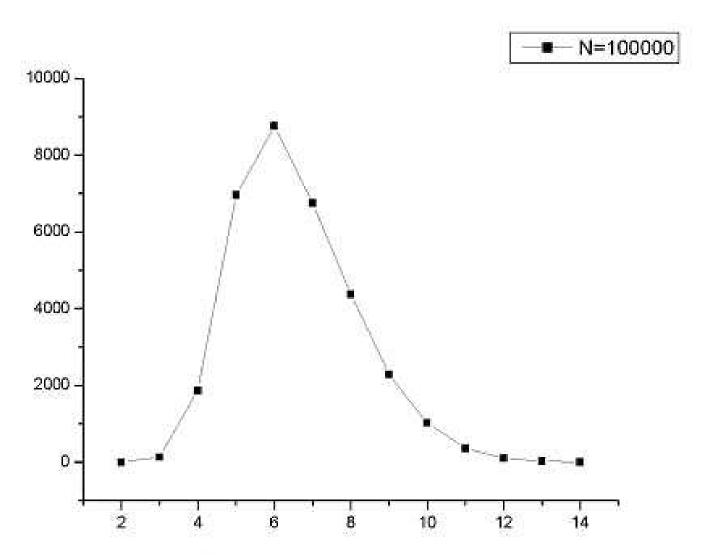
Why is the problem so surprisingly difficult?

We saw two reasons in Lecture I:

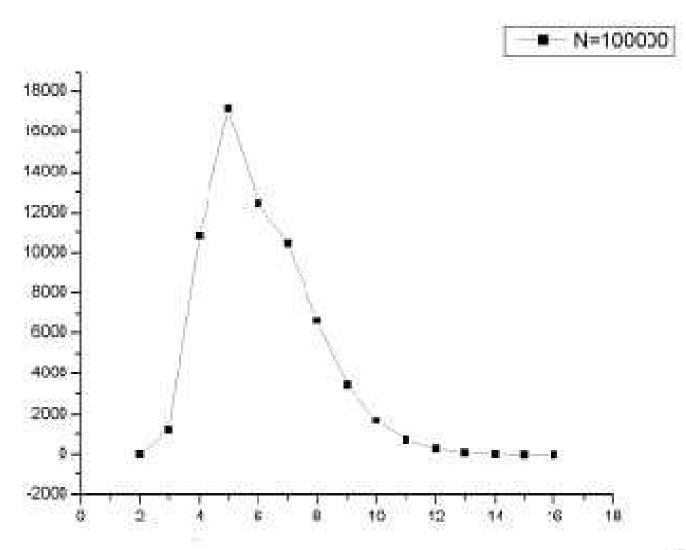
- non-locality: prefixes of optimal solutions need not be optimal (that's why the greedy algorithm fails;
- combinatorics of finite sets is encoded in the problem.

Yet another reason: "slowly" synchronizing automata turn out to be extremely rare. The only known infinite series of n-state synchronizing automata with shortest reset words of length $(n-1)^2$ is the Černý series \mathscr{C}_n , $n=2,3,\ldots$, with a few sporadic examples for $n\leq 6$.

20-State Experiment



30-State Experiment



A (partial) explanation of these experimental observations:

A (partial) explanation of these experimental observations: if Q is an n-set (with n large enough), then, on average, any product of 2n randomly chosen transformations of Q is a constant map (Peter Higgins, The range order of a product of i transformations from a finite full transformation semigroup, Semigroup Forum, 37 (1988) 31–36).

A (partial) explanation of these experimental observations: if Q is an n-set (with n large enough), then, on average, any product of 2n randomly chosen transformations of Q is a constant map (Peter Higgins, The range order of a product of *i* transformations from a finite full transformation semigroup, Semigroup Forum, 37 (1988) 31–36). In automata-theoretic terms, this fact means that a randomly chosen DFA with n states and a sufficiently large input alphabet tends to be synchronizing and is reset by any word of length < 2n.

A (partial) explanation of these experimental observations: if Q is an n-set (with n large enough), then, on average, any product of 2n randomly chosen transformations of Q is a constant map (Peter Higgins, The range order of a product of *i* transformations from a finite full transformation semigroup, Semigroup Forum, 37 (1988) 31–36). In automata-theoretic terms, this fact means that a randomly chosen DFA with n states and a sufficiently large input alphabet tends to be synchronizing and is reset by any word of length < 2n.

Thus, "slowly" synchronizing automata cannot be discovered via a random sampling.

A synchronizing automaton $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is *proper* if none of the automata obtained from \mathscr{A} by erasing any letter in Σ are synchronizing.

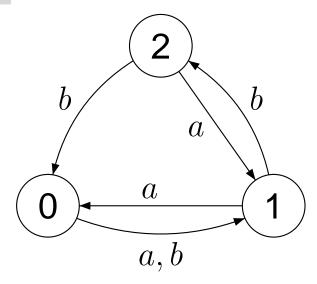
A synchronizing automaton $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is *proper* if none of the automata obtained from \mathscr{A} by erasing any letter in Σ are synchronizing. E.g., the Černý automata \mathscr{C}_n with n > 2 are proper while \mathscr{C}_2 is not.

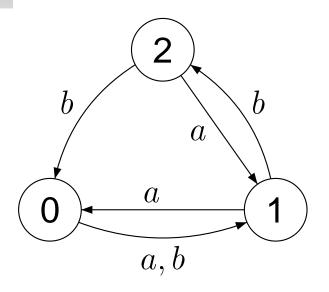
A synchronizing automaton $\mathscr{A}=\langle Q,\Sigma,\delta\rangle$ is *proper* if none of the automata obtained from \mathscr{A} by erasing any letter in Σ are synchronizing. E.g., the Černý automata \mathscr{C}_n with n>2 are proper while \mathscr{C}_2 is not. A synchronizing automaton with n states *reaches the* Černý bound if the minimum length of its reset words is $(n-1)^2$.

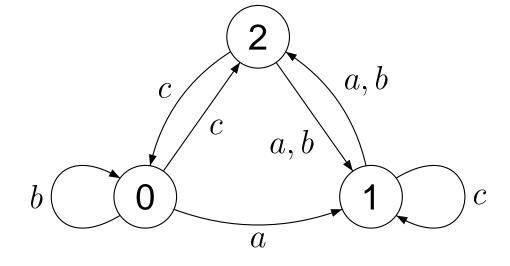
A synchronizing automaton $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is *proper* if none of the automata obtained from \(\text{\$\noderline} \) by erasing any letter in Σ are synchronizing. E.g., the Černý automata \mathcal{C}_n with n>2 are proper while \mathcal{C}_2 is not. A synchronizing automaton with n states reaches the Černý bound if the minimum length of its reset words is $(n-1)^2$. We present here all known proper synchronizing automata beyond the Černý series \mathscr{C}_n , $n=3,4,\ldots$, that reach the Černý bound.

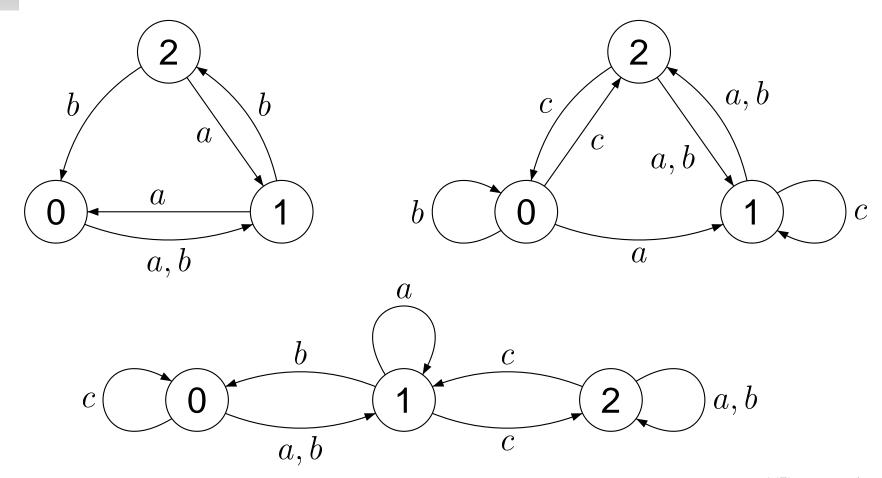
A synchronizing automaton $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is *proper* if none of the automata obtained from \(\text{\$\noderline} \) by erasing any letter in Σ are synchronizing. E.g., the Černý automata \mathcal{C}_n with n>2 are proper while \mathcal{C}_2 is not. A synchronizing automaton with n states reaches the Černý bound if the minimum length of its reset words is $(n-1)^2$. We present here all known proper synchronizing automata beyond the Černý series \mathscr{C}_n , $n=3,4,\ldots$, that reach the Černý bound. For the sake of completeness, we start with n=2:

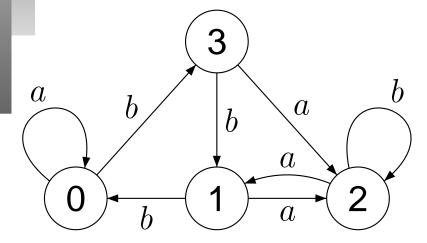
$$0$$
 a 1 a

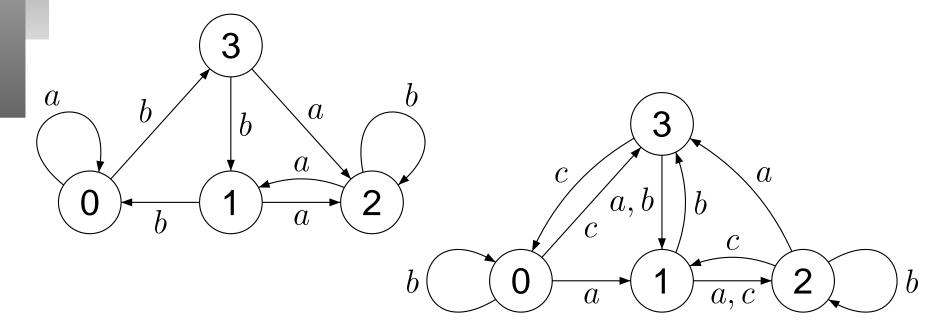


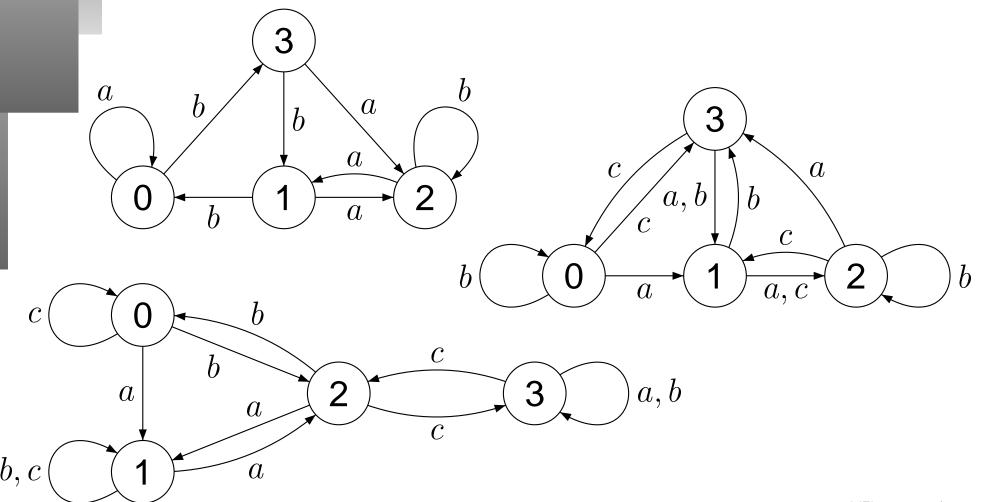






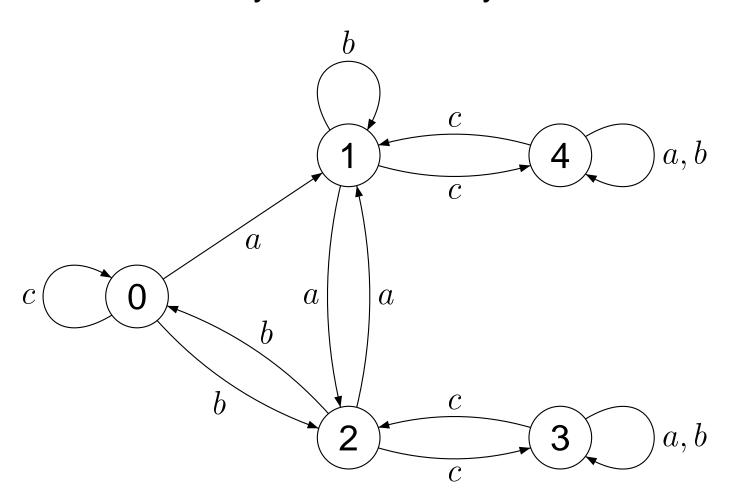






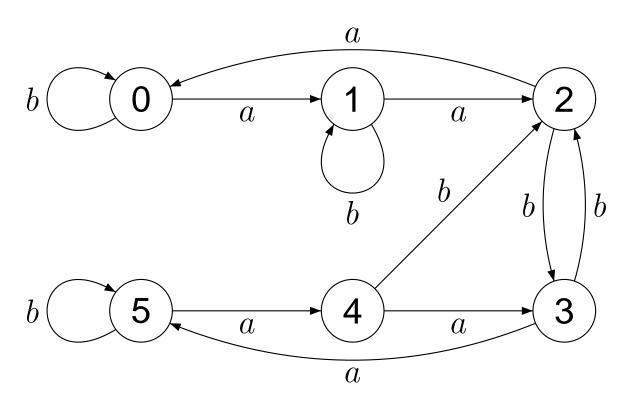
A proper 5-state automaton reaching the Černý bound has been recently discovered by Adam Roman.

A proper 5-state automaton reaching the Černý bound has been recently discovered by Adam Roman.



The last in our list and the most remarkable example was published in 2001 by Jarkko Kari (A counter example to a conjecture concerning synchronizing words in finite automata, EATCS Bull., 73, 146).

The last in our list and the most remarkable example was published in 2001 by Jarkko Kari (A counter example to a conjecture concerning synchronizing words in finite automata, EATCS Bull., 73, 146).



Kari's automaton \mathcal{K}_6 has refuted several conjectures.

Kari's automaton \mathcal{K}_6 has refuted several conjectures.

The most well known of them was suggested by Jean-Eric Pin in 1978. Pin conjectured that if a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ with n states admits a word $w \in \Sigma^*$ such that $|Q \cdot w| = k$, $1 \le k \le n$, then \mathscr{A} possesses a word of length at most $(n-k)^2$ with the same property.

Kari's automaton \mathcal{K}_6 has refuted several conjectures.

The most well known of them was suggested by Jean-Eric Pin in 1978. Pin conjectured that if a DFA $\mathscr{A}=\langle Q,\Sigma,\delta\rangle$ with n states admits a word $w\in\Sigma^*$ such that $|Q\cdot w|=k,\,1\leq k\leq n$, then \mathscr{A} possesses a word of length at most $(n-k)^2$ with the same property. (The Černý conjecture corresponds to the case k=1.)

Kari's automaton \mathcal{K}_6 has refuted several conjectures.

The most well known of them was suggested by Jean-Eric Pin in 1978. Pin conjectured that if a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ with n states admits a word $w \in \Sigma^*$ such that $|Q \cdot w| = k$, $1 \le k \le n$, then \mathscr{A} possesses a word of length at most $(n-k)^2$ with the same property. (The Černý conjecture corresponds to the case k=1.)

However, in \mathscr{K}_6 there is no word w of length $16=(6-2)^2$ such that $|Q\cdot w|=2$.

The rank of a DFA $\mathscr{A}=\langle Q,\Sigma,\delta\rangle$ is the minimum cardinality of the sets Q. w where w runs over Σ^* .

The rank of a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is the minimum cardinality of the sets $Q \cdot w$ where w runs over Σ^* . This is the minimum score that can be achieved in the solitaire game on the automaton \mathscr{A} .

The *rank* of a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is the minimum cardinality of the sets Q. w where w runs over Σ^* . This is the minimum score that can be achieved in the solitaire game on the automaton \mathscr{A} . Synchronizing automata are precisely those of rank 1.

The rank of a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is the minimum cardinality of the sets Q. w where w runs over Σ^* . This is the minimum score that can be achieved in the solitaire game on the automaton \mathscr{A} . Synchronizing automata are precisely those of rank 1.

A corrected (and perhaps correct) version of Pin's conjecture is the following rank conjecture:

The rank of a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is the minimum cardinality of the sets $Q \cdot w$ where w runs over Σ^* . This is the minimum score that can be achieved in the solitaire game on the automaton \mathscr{A} . Synchronizing automata are precisely those of rank 1.

A corrected (and perhaps correct) version of Pin's conjecture is the following rank conjecture: if a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ with n states has rank k, then there exists a word $w \in \Sigma^*$ of length at most $(n-k)^2$ such that $|Q \cdot w| = k$.

The rank of a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ is the minimum cardinality of the sets $Q \cdot w$ where w runs over Σ^* . This is the minimum score that can be achieved in the solitaire game on the automaton \mathscr{A} . Synchronizing automata are precisely those of rank 1.

A corrected (and perhaps correct) version of Pin's conjecture is the following rank conjecture: if a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$ with n states has rank k, then there exists a word $w \in \Sigma^*$ of length at most $(n-k)^2$ such that $|Q \cdot w| = k$.

Again, the Černý conjecture corresponds to the case k=1.

Kari's automaton does not refute the rank conjecture!

Kari's automaton does not refute the rank conjecture! In the solitaire game on \mathcal{K}_6 , no sequence of 16 moves removes 4 coins.

Kari's automaton does not refute the rank conjecture! In the solitaire game on \mathcal{K}_6 , no sequence of 16 moves removes 4 coins. However, 4 is not the maximum number of tokens that can be removed!

Kari's automaton does not refute the rank conjecture! In the solitaire game on \mathcal{K}_6 , no sequence of 16 moves removes 4 coins. However, 4 is not the maximum number of tokens that can be removed! One can show that 5 states can be freed by a sequence of 25 moves — in full accordance with the rank conjecture.

Kari's automaton does not refute the rank conjecture! In the solitaire game on \mathcal{K}_6 , no sequence of 16 moves removes 4 coins. However, 4 is not the maximum number of tokens that can be removed! One can show that 5 states can be freed by a sequence of 25 moves — in full accordance with the rank conjecture.

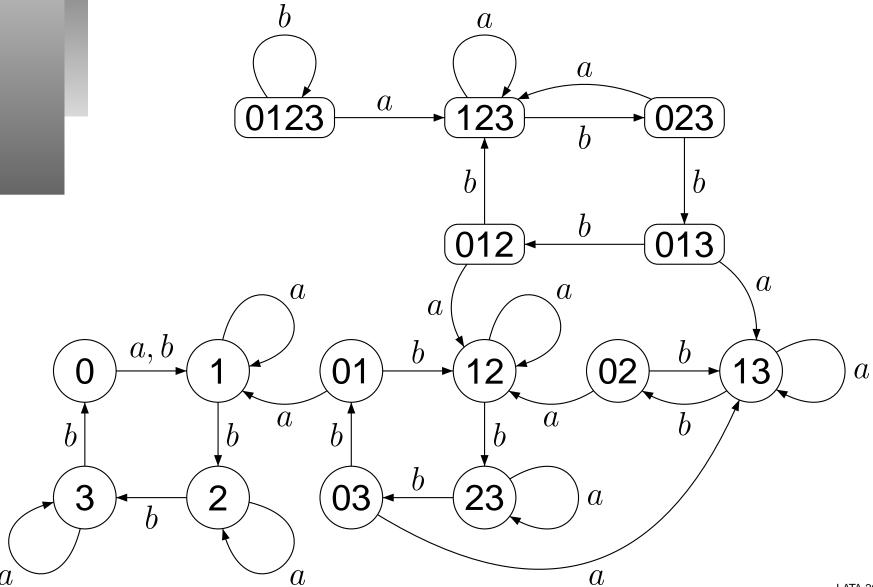
Yet another hope killed by Kari's example is the extensibility conjecture.

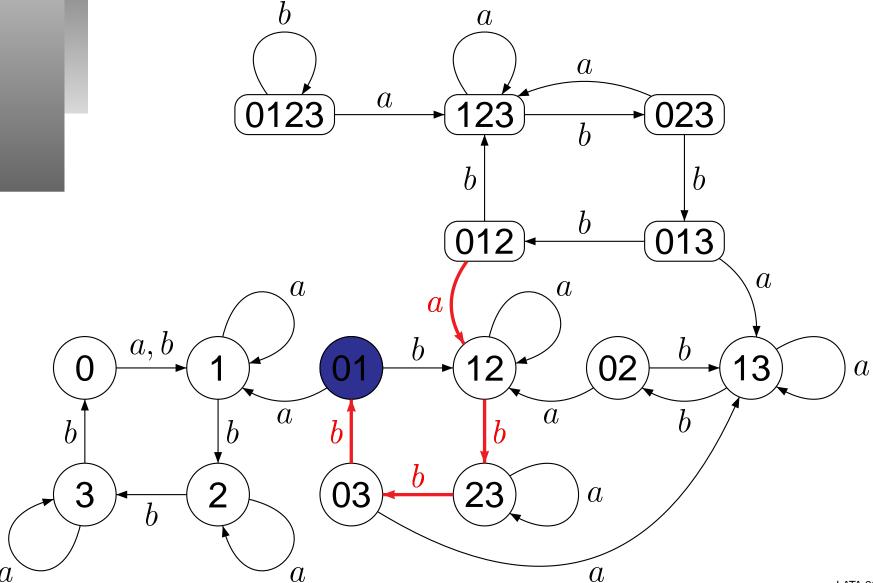
Kari's automaton does not refute the rank conjecture! In the solitaire game on \mathcal{K}_6 , no sequence of 16 moves removes 4 coins. However, 4 is not the maximum number of tokens that can be removed! One can show that 5 states can be freed by a sequence of 25 moves — in full accordance with the rank conjecture.

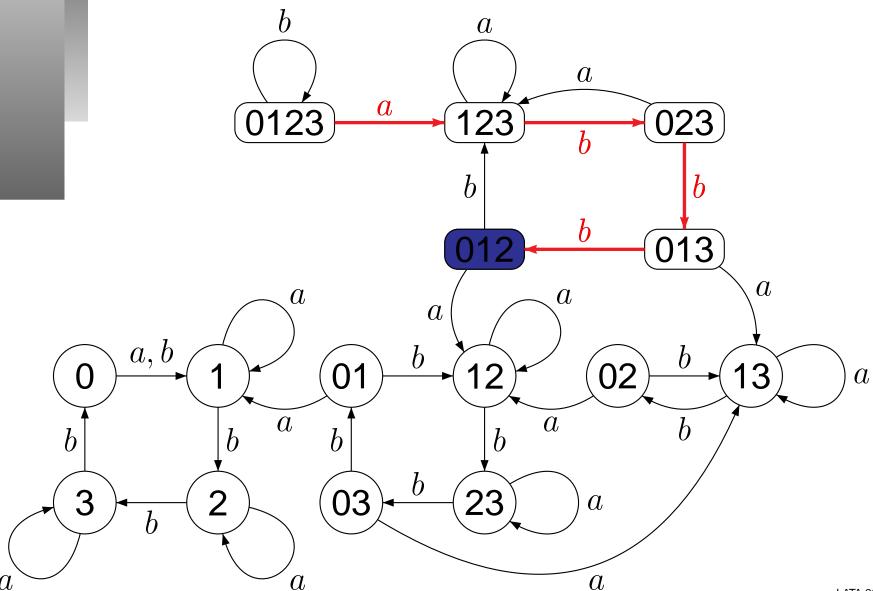
Yet another hope killed by Kari's example is the extensibility conjecture. In a DFA $\mathscr{A}=\langle Q,\Sigma,\delta\rangle$, a subset $P\subset Q$ is extensible if P=R. w for some $w\in \Sigma^*$ of length at most n=|Q| and some $R\subseteq Q$ with |R|>|P|.

Kari's automaton does not refute the rank conjecture! In the solitaire game on \mathcal{K}_6 , no sequence of 16 moves removes 4 coins. However, 4 is not the maximum number of tokens that can be removed! One can show that 5 states can be freed by a sequence of 25 moves — in full accordance with the rank conjecture.

Yet another hope killed by Kari's example is the extensibility conjecture. In a DFA $\mathscr{A} = \langle Q, \Sigma, \delta \rangle$, a subset $P \subset Q$ is extensible if $P = R \cdot w$ for some $w \in \Sigma^*$ of length at most n = |Q| and some $R \subseteq Q$ with |R| > |P|. It was conjectured that in synchronizing automata every proper non-singleton subset is extensible.







Observe that the extensibility conjecture implies the Černý conjecture.

Observe that the extensibility conjecture implies the Černý conjecture.

Indeed, if $\mathscr{A}=\langle Q,\Sigma,\delta\rangle$ is synchronizing, then some letter $a\in\Sigma$ should sent two states $q,q'\in Q$ to the same state p.

Observe that the extensibility conjecture implies the Černý conjecture.

Indeed, if $\mathscr{A}=\langle Q,\Sigma,\delta\rangle$ is synchronizing, then some letter $a\in\Sigma$ should sent two states $q,q'\in Q$ to the same state p. Let $P_0=\{q,q'\}$ and, for i>0, let P_i be such that $|P_i|>|P_{i-1}|$ and $P_{i-1}=P_i$. w_i for some word w_i of length < n.

Observe that the extensibility conjecture implies the Černý conjecture.

Indeed, if $\mathscr{A}=\langle Q,\Sigma,\delta\rangle$ is synchronizing, then some letter $a\in\Sigma$ should sent two states $q,q'\in Q$ to the same state p. Let $P_0=\{q,q'\}$ and, for i>0, let P_i be such that $|P_i|>|P_{i-1}|$ and $P_{i-1}=P_i\cdot w_i$ for some word w_i of length $\leq n$. Then in at most n-2 steps the sequence P_0,P_1,P_2,\ldots reaches Q and

$$Q.w_{n-2}w_{n-1}\cdots w_1a=\{p\},$$

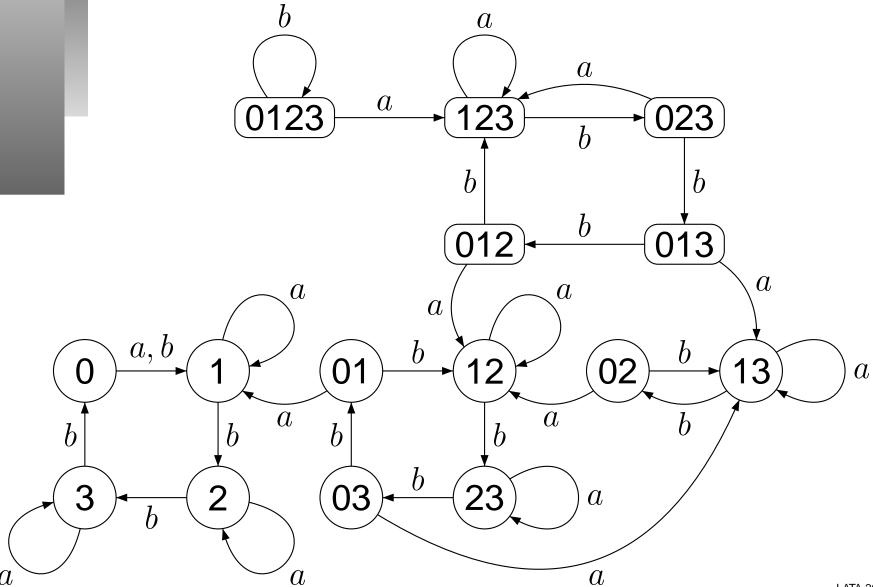
that is, $w_{n-2}w_{n-1}\cdots w_1a$ is a reset word.

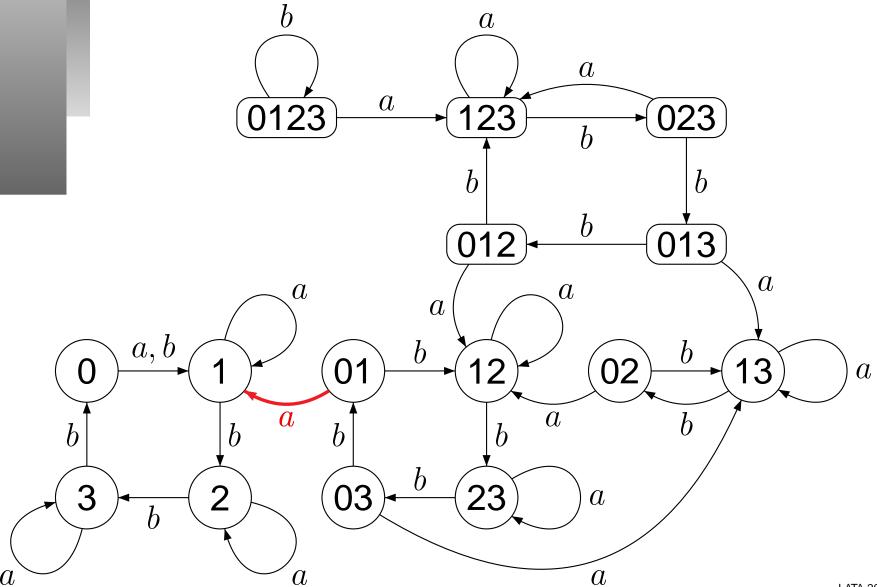
Observe that the extensibility conjecture implies the Černý conjecture.

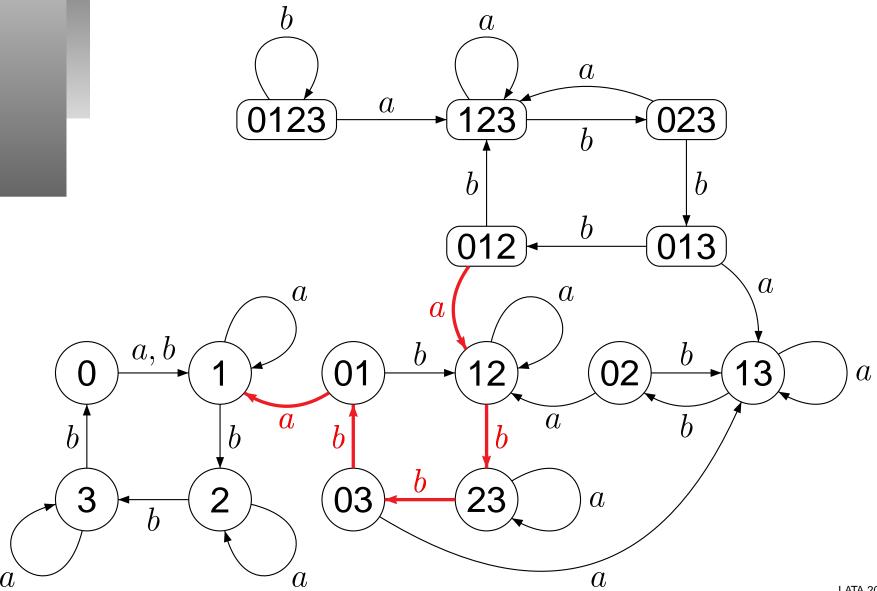
Indeed, if $\mathscr{A}=\langle Q,\Sigma,\delta\rangle$ is synchronizing, then some letter $a\in\Sigma$ should sent two states $q,q'\in Q$ to the same state p. Let $P_0=\{q,q'\}$ and, for i>0, let P_i be such that $|P_i|>|P_{i-1}|$ and $P_{i-1}=P_i\cdot w_i$ for some word w_i of length $\leq n$. Then in at most n-2 steps the sequence P_0,P_1,P_2,\ldots reaches Q and

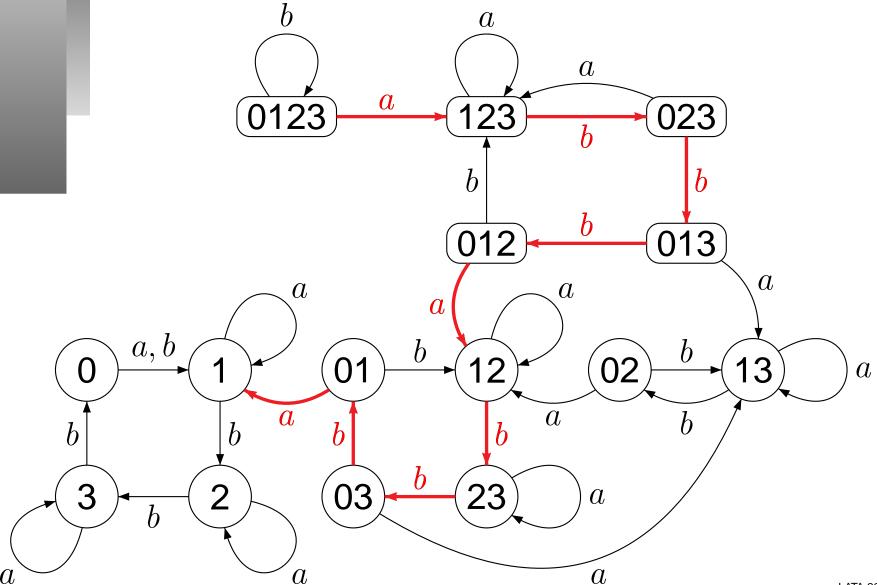
$$Q.w_{n-2}w_{n-1}\cdots w_1a=\{p\},$$

that is, $w_{n-2}w_{n-1}\cdots w_1a$ is a reset word. The length of this reset word is at most $n(n-2)+1=(n-1)^2$.









Several important results confirming the Černý conjecture for various partial cases have been proved by verifying the extensibility conjecture for the corresponding automata. This includes, in particular:

Several important results confirming the Černý conjecture for various partial cases have been proved by verifying the extensibility conjecture for the corresponding automata. This includes, in particular:

• Louis Dubuc's result for automata in which a letter acts on the state set Q as a cyclic permutation of order |Q| (Sur le automates circulaires et la conjecture de Černý, RAIRO Inform. Theor. Appl., 32 (1998) 21–34 [in French]).

Several important results confirming the Černý conjecture for various partial cases have been proved by verifying the extensibility conjecture for the corresponding automata. This includes, in particular:

- Louis Dubuc's result for automata in which a letter acts on the state set Q as a cyclic permutation of order |Q| (Sur le automates circulaires et la conjecture de Černý, RAIRO Inform. Theor. Appl., 32 (1998) 21–34 [in French]).
- Jarkko Kari's result for automata with Eulerian digraphs (Synchronizing finite automata on Eulerian digraphs, Theoret. Comput. Sci., 295 (2003) 223–232.)

Extensibility vs Kari's Example

However, in \mathcal{K}_6 there exists a 2-subset that cannot be extended to a larger subset by any word of length 6 (and even by any word of length 7).

Extensibility vs Kari's Example

However, in \mathcal{K}_6 there exists a 2-subset that cannot be extended to a larger subset by any word of length 6 (and even by any word of length 7). Thus, the extensibility conjecture fails, and the approach based on it cannot prove the Černý conjecture in general.